

8/30/10

UNITED STATES OF AMERICA LEGAL DEPARTMENTATIONS HOURS REGION 26

CENTURY ALUMINUM OF KENTUCKY GENERAL PARTNERSHIP

and

CASE 26-CA-23748

UNITED STEEL, PAPER AND FORESTRY, RUBBER, MANUFACTURING, ENERGY, ALLIED-INDUSTRIAL AND SERVICE WORKERS INTERNATIONAL UNION, AFL-CIO, CLC

COMPLAINT AND NOTICE OF HEARING

United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied-Industrial and Service Workers International Union, herein called Union, has charged that Century Aluminum of Kentucky General Partnership, herein called Respondent, has been engaging in unfair labor practices as set forth in the National Labor Relations Act, 29 U.S.C. § 151 et seq., herein called the Act. Based thereon, the Acting General Counsel, by the undersigned, pursuant to Section 10(b) of the Act and Section 102.15 of the Rules and Regulations of the National Labor Relations Board, herein called the Board, issues this Complaint and Notice of Hearing and alleges as follows:

1.

- (a) The charge in this proceeding was filed by the Union on May 21, 2010 and a copy was served by regular mail on Respondent on May 24, 2010.
- (b) The first amended charge in this proceeding was filed by the Union on August 30, 2010 and a copy is served by certified mail upon Respondent concurrently with this Complaint and Notice of Hearing.

2.

At all material times, Respondent, a Kentucky general partnership with an office and place of business in Hawesville, Kentucky, herein called Respondent's facility, has been engaged in the processing of aluminum.

- (a) During the 12-month period ending July 31, 2010, Respondent, in conducting its business operations described above in paragraph 2, sold and shipped from Respondent's facility goods valued in excess of \$50,000 directly to points located outside the Commonwealth of Kentucky.
- (b) During the 12-month period ending July 31, 2010, Respondent, in conducting its business operations described above in paragraph 2, purchased and received at Respondent's facility goods valued in excess of \$50,000 directly from points located outside the Commonwealth of Kentucky.

4.

At all material times Respondent has been an employer engaged in commerce within the meaning of Section 2(2), (6), and (7) of the Act.

5.

At all material times the Union has been a labor organization within the meaning of Section 2(5) of the Act.

6.

At all material times, the following individuals held the positions set forth opposite their respective names and have been supervisors of Respondent within the meaning of Section 2(11) of the Act and agents of Respondent within the meaning of Section 2(13) of the Act:

Steve King - Swing Maintenance Supervisor

Steve Radcliffe - Laboratory Director

Kenny Bass - Potline Supervisor

Jim Sparks - General Supervisor

7.

- (a) On or about April 11, 2010, Respondent, by Swing Maintenance Supervisor Steve King, at Respondent's facility, threatened employees with plant closure if they went on strike.
- (b) On or about May 7, 2010, Respondent, by Laboratory Director Steve Radcliffe, at Respondent's facility, threatened employees with plant closure if they went on strike.

- (c) On or about May 9, 2010, Respondent, by Potline Supervisor Kenny Bass, at Respondent's facility, threatened employees with plant closure if they went on strike.
- (d) On or about May 17, 2010, on two occasions, Respondent, by General Supervisor Jim Sparks, threatened employees with plant closure if they failed to accept Respondent's contract offer.

8.

By the conduct described above in paragraph 7, Respondent has been interfering with, restraining, and coercing employees in the exercise of the rights guaranteed in Section 7 of the Act in violation of Section 8(a)(1) of the Act.

9.

The unfair labor practices of Respondent described above affect commerce within the meaning of Section 2(6) and (7) of the Act.

ANSWER REQUIREMENT

Respondent is notified that, pursuant to Sections 102.20, 102.21, and 102.56 of the Board's Rules and Regulations, it must file an answer to the complaint. The answer must be received by this office on or before September 13, 2010 or postmarked on or before September 12, 2010. Respondent should file an original and four copies of the answer with this office and serve a copy of the answer on each of the other parties.

An answer may also be filed electronically by using the E-Filing system on the Agency's website. In order to file an answer electronically, access the Agency's website at http://www.nlrb.gov, click on E-Gov, and then click on the E-Filing link on the pull-down menu. Click on the "File Documents" button under "Regional, Subregional and Resident Offices" and then follow the directions. The responsibility for the receipt and usability of the answer rests exclusively upon the sender. A failure to timely file the answer will not be excused on the basis that the transmission could not be accomplished because the Agency's website was offline or unavailable for some other reason. The Board's rules and Regulations require that an answer be signed by counsel or non-attorney representative for represented parties or by the party if not represented. See Section 102.21. If an answer being filed electronically is a pdf document containing the required signature, no paper copies of the answer need to be transmitted to the Regional Office. However, if the electronic version of an answer to a complaint is not a pdf file containing the required signature, then the E-Filing rules require that such answer containing the required signature continue to be submitted to the Regional Office by traditional means within three (3) business days after the date of electronic filing. Service of the answer on each of the other parties must still be accomplished by means allowed under the Board's Rules and Regulations. The answer may not be filed by facsimile transmission. If no answer is filed, the Board

may find, pursuant to a Motion for Default Judgment, that the allegations in the complaint are true.

NOTICE OF HEARING

PLEASE TAKE NOTICE THAT at 9 a.m. on November 29, 2010 and on consecutive days thereafter until concluded, a hearing will be conducted in the first floor Courtroom, U.S. District Court, Federal Building, 423 Frederica Street, Owensboro, Kentucky, before an administrative law judge of the National Labor Relations Board. At the hearing, Respondent and any other party to this proceeding have the right to appear and present testimony regarding the allegations in this complaint. The procedures to be followed at the hearing are described in the attached Form NLRB-4668. The procedure to request a postponement of the hearing is described in the attached Form NLRB-4338.

Dated: August 30th, 2010.

Ronald K. Hooks, Regional Director

Region 26

National Labor Relations Board The Brinkley Plaza Building 80 Monroe Avenue, Suite 350 Memphis, TN 38103-2416

Attachments

UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD NOTICE

Century Aluminum of Kentucky General Partnership Case 26-CA-23748

The issuance of the notice of formal hearing in this case does not mean that the matter cannot be disposed of by agreement of the parties. On the contrary, it is the policy of this office to encourage voluntary adjustments. The examiner or attorney assigned to the case will be pleased to receive and to act promptly upon your suggestions or comments to this end. An agreement between the parties, approved by the Regional Director, would serve to cancel the hearing.

However, unless otherwise specifically ordered, the hearing will be held at the date, hour, and place indicated. Postponements will not be granted unless good and sufficient grounds are shown and the following requirements are met:

- (1) The request must be in writing. An original and two copies must be filed with the Regional Director when appropriate under 29 CFR 102.16(a) or with the Division of Judges when appropriate under 29 CFR 102.16(b).
- (2) Grounds thereafter must be set forth in detail;
- (3) Alternative dates for any rescheduled hearing must be given;
- (4) The positions of all other parties must be ascertained in advance by the requesting party and set forth in the request; **and**
- (5) Copies must be simultaneously served on all other parties (listed below), and that fact must be noted on the request.

Except under the most extreme conditions, no request for postponement will be granted during the three days immediately preceding the date of hearing.

Mr. Jeff Wargo Human Resources Manager Century Aluminum P.O. Box 500 Hawesville, KY 42348

Mr. Daniel M. Kovalik Senior Associate General Counsel United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied Industrial and Service Workers International Union, AFL-CIO/CLC Five Gateway Center, Room 807 Pittsburgh, PA 15222 Mr. Dan Carter
Mr. Michael M Michetti
Attorneys
Jones Day
North Point
901 Lakeside Avenue
Cleveland, OH 44114-1190

Mr. Robert A. Pierson Staff Representative, United Steelworkers, Local 9443 United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied Industrial and Service Workers International Union 2441 Mayfair Drive Owensboro, KY 42301-4543

Mr. John A. Beaver President, USW Local 9423 United Steelworkers of America, AFL-CIO, CLC P.O. Box 448 Lewisport, KY 42351

SUMMARY OF STANDARD PROCEDURES IN FORMAL HEARINGS HELD BEFORE THE NATIONAL LABOR RELATIONS BOARD IN UNFAIR LABOR PRACTICE PROCEEDINGS PURSUANT TO SECTION 10 OF THE NATIONAL LABOR RELATIONS ACT

The hearing will be conducted by an administrative law judge of the National Labor Relations Board who will preside at the hearing as an independent, impartial finder of the facts and applicable law whose decision in due time will be served on the parties. The offices of the administrative law judges are located in Washington, DC; San Francisco, California; New York, N.Y.; and Atlanta, Georgia.

At the date, hour, and place for which the hearing is set, the administrative law judge, upon the joint request of the parties, will conduct a "prehearing" conference, prior to or shortly after the opening of the hearing, to ensure that the issues are sharp and clearcut; or the administrative law judge may independently conduct such a conference. The administrative law judge will preside at such conference, but may, if the occasion arises, permit the parties to engage in private discussions. The conference will not necessarily be recorded, but it may well be that the labors of the conference will be evinced in the ultimate record, for example, in the form of statements of position, stipulations, and concessions. Except under unusual circumstances, the administrative law judge conducting the prehearing conference will be the one who will conduct the hearing; and it is expected that the formal hearing will commence or be resumed immediately upon completion of the prehearing conference. No prejudice will result to any party unwilling to participate in or make stipulations or concessions during any prehearing conference.

(This is not to be construed as preventing the parties from meeting earlier for similar purposes. To the contrary, the parties are encouraged to meet prior to the time set for hearing in an effort to narrow the issues.)

Parties may be represented by an attorney or other representative and present evidence relevant to the issues. All parties appearing before this hearing who have or whose witnesses have handicaps falling within the provisions of Section 504 of the Rehabilitation Act of 1973, as amended, and 29 C.F.R. 100.603, and who in order to participate in this hearing need appropriate auxiliary aids, as defined in 29 C.F.R. 100.603, should notify the Regional Director as soon as possible and request the necessary assistance.

An official reporter will make the only official transcript of the proceedings, and all citations in briefs and arguments must refer to the official record. The Board will not certify any transcript other than the official transcript for use in any court litigation. Proposed corrections of the transcript should be submitted, either by way of stipulation or motion, to the administrative law judge for approval.

All matter that is spoken in the hearing room while the hearing is in session will be recorded by the official reporter unless the administrative law judge specifically directs off-the-record discussion. In the event that any party wishes to make off-the-record statements, a request to go off the record should be directed to the administrative law judge and not to the official reporter.

Statements of reasons in support of motions and objections should be specific and concise. The administrative law judge will allow an automatic exception to all adverse rulings and, upon appropriate order, an objection and exception will be permitted to stand to an entire line of questioning.

All exhibits offered in evidence shall be in duplicate. Copies of exhibits should be supplied to the administrative law judge and other parties at the time the exhibits are offered in evidence. If a copy of any exhibit is not available at the time the original is received, it will be the responsibility of the party offering such exhibit to submit the copy to the administrative law judge before the close of hearing. In the event such copy is not submitted, and the filing has not been waived by the administrative law judge, any ruling receiving the exhibit may be rescinded and the exhibit rejected.

Any party shall be entitled, on request, to a reasonable period of time at the close of the hearing for oral argument, which shall be included in the transcript of the hearing. In the absence of a request, the administrative law judge may ask for oral argument if, at the close of the hearing, it is believed that such argument would be beneficial to the understanding of the contentions of the parties and the factual issues involved.

In the discretion of the administrative law judge, any party may, on request made before the close of the hearing, file a brief or proposed findings and conclusions, or both, with the administrative law judge who will fix the time for such filing. Any such filing submitted shall be double-spaced on 8½ by 11 inch paper.

Attention of the parties is called to the following requirements laid down in Section 102.42 of the Board's Rules and Regulations, with respect to the procedure to be followed <u>before</u> the proceeding is transferred to the Board:

No request for an extension of time within which to submit briefs or proposed findings to the administrative law judge will be considered unless received by the Chief Administrative Law Judge in Washington, DC (or, in cases under the branch offices in San Francisco, California; New York, New York; and Atlanta, Georgia, the Associate Chief Administrative Law Judge) at least 3 days prior to the expiration of time fixed for the submission of such documents. Notice of request for such extension of time must be served simultaneously on all other parties, and proof of such service furnished to the Chief Administrative Law Judge or the Associate Chief Administrative Law Judge, as the case may be. A quicker response is assured if the moving party secures the positions of the other parties and includes such in the request. All briefs or proposed findings filed with the administrative law judge must be submitted in triplicate, and may be printed or otherwise legibly duplicated with service on the other parties.

In due course the administrative law judge will prepare and file with the Board a decision in this proceeding, and will cause a copy thereof to be served on each of the parties. Upon filing of this decision, the Board will enter an order transferring this case to itself, and will serve copies of that order, setting forth the date of such transfer, on all parties. At that point, the administrative law judge's official connection with the case will cease.

The procedure to be followed before the Board from that point forward, with respect to the filing of exceptions to the administrative law judge's decision, the submission of supporting briefs, requests for oral argument before the Board, and related matters, is set forth in the Board's Rules and Regulations, particularly in Section 102.46 and following sections. A summary of the more pertinent of these provisions will be served on the parties together with the order transferring the case to the Board.

Adjustments or settlements consistent with the policies of the National Labor Relations Act reduce government expenditures and promote amity in labor relations. If adjustment appears possible, the administrative law judge may suggest discussions between the parties or, on request, will afford reasonable opportunity during the hearing for such discussions.

FORM NLRB-501

UCC. Delente Billion

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD

FIRST AMENDED CHARGE AGAINST **EMPLOYER**

FORM EXEMPT UNDER 44 U.S.C. 3512				
DO NOT WRITE	IN THIS SPACE			
Case	Date Filed			
26-CA-23748	8/30/2010			

INSTRUCTIONS
File an original and 4 copies of this charge with NLRB Regional Director for

the region in which the alleged unfair labor practice occurred or is occurring.				
1. EMPLOYER AGAINST WHOM CHARGE IS BROUGHT				
a. Name of Employer		b. Number of workers employed 541		
Century Aluminum of Kentucky General Partnership		×		
c. Address (street, city, state, ZIP code)	d. Employer	e. Telephone No.		
P.O. Box 500	Representative	270-685-2493		
Hawesville, KY 42348	Jeff Wargo, Human	270-852-2886 (Fax)		
	Resources Manager			
Type of Establishment (factory, mine, wholesaler, etc.)	g. Identify principal product or service			
Factory	Aluminum			
h. The above-named employer has engaged in and is engaging in unfair labor practices within the meaning of section 8(a), subsections (1) of the National Labor Relations Act, and these unfair labor practices are unfair practices affecting commerce within the meaning of the Act.				
Basis of the Charge (set forth a clear and concise statement of the facts constituting the alleged unfair labor practices)				
•	•			
Within the 10(b) period, the above-named Emplo	oyer, by and through	its officers, agents and		
representatives, threatened to shut down the fac				
employees.		out the by building diffe		
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Within the 10(b) period, the above-named Emplo	over by and through	its officers agents and		
Within the 10(b) period, the above-named Employer, by and through its officers, agents and representatives, threatened to shut down the facility in the event employees did not accept				
	and in the event emp	ployees did not accept		
the Employer's contract offer.				
3. Full name of party filing charge (If labor organization, give full name,	including local game and numb	~)		
United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied	I-Industrial and Service Worker	s International Union, AFL-CIO/CLC		
4a. Address (street and number, city, state and ZIP code)		Telephone No.		
Five Gateway Center, Room 807	1	2-562-2541		
Pittsburgh, PA 15222		2-562-2574 (fax)		
	dko	ovalik@usw.org (email)		
	1			
5. Full name of national or international labor organization of which it is an affiliate or constituent unit (to be filled in when charge is filed by a labor organization.				
United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied-Industrial and Service Workers International Union, AFL-CIO/CLC				
0. DECLAR				
I declare that I have read the above charge and that the state	ements are true to the best of r	ny knowledge and belief.		
000				
By De Le		Title: Sr. Associate General		
		Counsel		
Signature of representative or person making charge: Daniel M. Kovalik				
Address	minahasa ita	0/30/10		
	elephone No. 12-562-2541	Date: 8/30/10		
	12-562-2574 (fax)	1		
	kovalik@usw.org (email)			
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WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)